

Alexandria, VA 22313-1450

PATENT Customer No. 22,852

Attorney Docket No. 02860.0701-00000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Susumu YAMAGUCHI et al.	) Group Art Unit: 2615
Application No.: 10/040,437	) Examiner: Aggarwal, Yogesh K.
Filed: January 9, 2002	Confirmation No.: 5276
For: IMAGE PICKUP DEVICE AND IMAGE PICKUP LENS	) ) )
Commissioner for Patents P.O. Box 1450	·

Sir:

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after a Final Action and is accompanied by a fee of \$180.00 as specified under § 1.17(p) and a certification as specified under § 1.97(e). Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

Each document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within thirty days of the mailing date of that communication. In accordance with 37 C.F.R. § 1.704(d), no negative

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patent term adjustment consequences will apply to this Information Disclosure Statement.

Copies of the listed foreign documents are attached. Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, an English language version of a search report from the European Patent Office in a corresponding application citing these documents and setting forth the relevance thereof is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: October 27, 2005

By: \_\_\_\_\_/ David W. Hill

Reg. No. 28,220

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO		* 1		Complete if Known	oct 27	2005	
				Application Number	10/040,437	<u> </u>	#
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STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Susumu YAMAGUC	HINTETRA	DEN	
			Art Unit	2615			
			Examiner Name	Aggarwal, Yogesh k	ζ.		
Sheet	T 1	of	1	Attorney Docket Number	02860 0701-00000		

	U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Cite	Cite No.1	Document Number	Issue or Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		Figures Appear	
		US-5,274,456	12-28-1993	Izumi et al.		
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>	
		JP 09-284617	10-31-1997	Sony Corporation		Abstract	
		EP 0 773 673 A1	05-14-1997	Sony Corporation		No	

	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		European Search Report - Application No. 04010162.8, Konica Minolta Opto, Inc., dated September 30, 2005.	

Examiner	Date	
Signature	Considered	